

AMENDMENTS TO THE CLAIMS

Pursuant to 37 C.F.R. § 1.121 the following listing of claims will replace all prior versions, and listings, of claims in the application.

1. (Currently Amended) In a computer-implemented rating system having access to a database populated with data concerning at least one non-economic factor for a company, a method comprising:

providing an interface ~~foreable~~ of displaying at least a portion of the data;

enabling a user to input at least one coefficient value and an alert-input through the interface, the alert-input including at least one monitored holding and a personalized criteria concerning a sustainability score of the monitored holding;

associating each said coefficient value with a respective data concerning the at least one non-economic factor;

computing a sustainability score using the at least one coefficient value and the data, the sustainability score utilizing the at least one non-economic factor in a formula that applies the associated coefficient value to the respective data concerning the at least one non-economic factor;

monitoring the at least one monitored holding;

testing the computed sustainability score against the personalized criteria for each monitored holding;

permitting the user to view the formula through the interface; ~~and~~

outputting the sustainability score, the sustainability score defining a rating of the company which incorporates the at least one non-economic factor;

generating an alert for each of the monitored holdings that satisfies the personalized criteria; and

transmitting the alert to the user in response to satisfaction of the personalized criteria.

2. (Original) The method of claim 1, further comprising:

storing the user-input coefficient value in an account associated with the user.

3. (Currently Amended) In a computer-implemented rating system having access to a database populated with data concerning at least one non-economic factor for multiple companies, each company belonging to an industry, a method comprising:

providing an interface ~~foreable~~ of displaying at least a portion of the data and enabling a the user to select at least one of the industry and a company within the industry;

accepting at least one user-input coefficient value and an alert-input through the interface, the alert-input including at least one monitored holding and a personalized criteria concerning a sustainability score of the monitored holding;

associating each said coefficient value with a respective data concerning the at least one non-economic factor for a company;

computing a sustainability score using at least one said coefficient value and the data concerning the selected one of the companies, the sustainability score utilizing the at least one non-economic factor in a formula that is viewable by the user through the interface; and

monitoring the at least one monitored holding;

testing the computed sustainability score against the personalized criteria for each monitored holding;

outputting the sustainability score, the sustainability score defining a rating of the industry or company within the industry incorporating the at least one non-economic factor;

generating an alert for each of the monitored holdings that satisfies the personalized
criteria; and

transmitting the alert to the user in response to satisfaction of the personalized criteria.

4. (Original) The method of claim 3, wherein the sustainability score for an industry is computed from data concerning non-economic factors of companies in the industry.

5. (Cancelled)

6. (Original) The method of claim 3, further comprising:
subsequently receiving later data concerning at least one non-economic factor for a company; and
computing a subsequent sustainability score for the company using the later data.
7. (Original) The method of claim 6, further comprising:
waiting to receive a verification flag respecting the later data prior to computing the subsequent sustainability score.
8. (Original) The method of claim 6, wherein
the later data is received from at least one of the public and the company.
9. (Original) The method of claim 6, wherein the data concerns non-economic factors for multiple companies, the method further comprising:
defining a derivative index product comprising a selection of companies among the multiple companies,
pricing the derivative product; and
offering the derivative product for purchase and sale in a public market.
10. (Original) The method of claim 9, wherein
the later data is received as a response to a questionnaire.
11. (Original) The method of claim 9, further comprising:
establishing a secure entity reporting channel, and
wherein the later data is received over the channel.
12. (Original) The method of claim 1, wherein
the sustainability score includes a plurality of individual scores corresponding to discrete sustainability criteria.
13. (Original) The method of claim 12, wherein

the coefficient value is used to compute at least one of the individual scores.

14. (Original) The method of claim 12, wherein
the sustainability score is computed by combining at least two of the individual scores,
and
the coefficient value is used to affect how the individual scores are combined.
15. (Original) The method of claim 12, wherein
the discrete sustainability criteria include at least one of a social responsibility rating, an
environmental responsibility rating, and a corporate governance rating.
16. (Original) The method of claim 15, wherein
the discrete sustainability criteria further include an economic rating.
17. (Original) The method of claim 1, further comprising:
benchmarking the sustainability score against a reference score.
18. (Cancelled)
19. (Currently Amended) A computer for implementing a rating system, the computer having
access to a database populated with data concerning at least one non-economic factor for a
company, the computer comprising:
means for displaying at least a portion of the data and a formula that generates a
sustainability score;
means for enabling a user to input at least one coefficient value and an alert-input, the
alert-input including at least one monitored holding and a personalized criteria concerning the
sustainability score of each of the monitored holdings;
means for associating each user-input coefficient value with a respective non-economic
factor; and

a processor ~~programmed~~configured to compute the sustainability score using the formula, the formula mathematically applying each associated user-input coefficient value to the respective non-economic factor;

means for monitoring the monitored holdings;

means for testing the computed sustainability score against the personalized criteria for each of the monitored holdings;

means for generating an alert for each of the monitored holdings that satisfy the personalized criteria; and

means for transmitting the alert to the user in response to satisfaction of the personalized criteria,

wherein the displaying means outputs the sustainability score, the sustainability score defining a rating of the company incorporating the at least one non-economic factor.

20. (Original) The computer of claim 19, further comprising:

means for storing the user-input coefficient value in an account associated with the user.

21. (Currently Amended) A computer for implementing a rating system, the computer having access to a database populated with data concerning at least one non-economic factor for multiple companies, each company belonging to an industry, the computer comprising:

means for displaying at least a portion of the data and a formula that generates a sustainability score;

means for enabling a user to input at least one coefficient value and an alert-input, the alert-input including at least one monitored holding and a personalized criteria concerning the sustainability score of each of the monitored holdings;

means for and associating each user-input coefficient value with a respective non-economic factor;

the means for enabling the user further enables the user to select at least one of the industry and a company within the industry, and

a processor ~~programmed~~configured to compute the sustainability score using the formula provided with at least one associated coefficient value and the respective non-economic data concerning the selected industry or company within the industry;

the later data is received from the company.

28. (Original) The computer of claim 24, wherein the later data is received as a response to a questionnaire.
29. (Original) The computer of claim 24, further comprising: means for establishing a secure entity reporting channel, and wherein the later data is received over the channel.
30. (Original) The computer of claim 19, wherein the sustainability score includes a plurality of individual scores corresponding to discrete sustainability criteria.
31. (Original) The computer of claim 30, wherein the coefficient value is used to compute at least one of the individual scores.
32. (Original) The computer of claim 30, wherein the sustainability score is computed by combining at least two of the individual scores, and the coefficient value is used to affect how the individual scores are combined.
33. (Original) The computer of claim 30, wherein the discrete sustainability criteria include at least one of a social responsibility rating, an environmental responsibility rating, and a corporate governance rating.
34. (Original) The computer of claim 33, wherein the discrete sustainability criteria further include an economic rating.
35. (Original) The computer of claim 19, further comprising: means for benchmarking the sustainability score against a reference score.

36. (Cancelled)

37. (Currently Amended) A computer for implementing a rating system, the computer having access to a database populated with data concerning at least one non-economic factor, the computer comprising:

~~an a software interface executing on the computer and programmed configured~~ to display at least a portion of the data, to enable input of at least one coefficient value and an alert-input, the alert input including a monitored holding and a personalized criteria concerning the sustainability score of the monitored holding, to associate each user-input coefficient value with a respective non-economic factor, and to display a formula useful in computing a sustainability score; and

a processor ~~programmed configured~~ to compute the sustainability score using the formula in conjunction with any input coefficient values and the data, to monitor the monitored holding, to test the computed sustainability score against the personalized criteria for each of the monitored holdings, to generate an alert indicating that the monitored holding satisfies the personalized criteria, and transmit the alert to the user in response to satisfaction of the personalized criteria,

wherein the interface ~~outputs is further capable of outputting~~ the sustainability score, the sustainability score defining a rating of the company incorporating the at least one non-economic factor.

38. (Original) The computer of claim 37, further comprising:

a memory for storing the input coefficient values in an account associated with the user.

39. (Currently Amended) A computer for implementing a rating system, the computer having access to a database populated with data concerning at least one non-economic factor for multiple companies, each company belonging to an industry, the computer comprising:

~~an a software interface executing on the computer and programmed configured~~ to display at least a portion of the data, to enable input of at least one coefficient value and an alert-input, the alert input including a monitored holding and a personalized criteria concerning the sustainability score of the monitored holding, to associate each user-input coefficient value with a respective

non-economic factor, and to enable the user to select at least one of the industry and a company within the industry, and to display a formula useful in computing a sustainability score; and

a processor ~~programmed~~configured to compute the sustainability score using the formula in conjunction with any input coefficient values and the data concerning the selected industry or company within the industry, to monitor the monitored holdings, to test the computed sustainability score against the personalized criteria for each of the monitored holding, to generate an alert indicating that the monitored holding satisfies the personalized criteria, and transmit the alert to the user in response to satisfaction of the personalized criteria,[[;]]

wherein the interface is further ~~programmed to output~~able of outputting the sustainability score, the sustainability score defining a rating incorporating the at least one non-economic factor of the selected industry or company within the industry.

40. (Original) The computer of claim 39, wherein
the sustainability score for an industry is computed from data concerning non-economic factors of companies in the industry.

41. (Original) The computer of claim 39, wherein
a plurality of sustainability scores for respective companies is computed and compared.

42. (Currently Amended) The computer of claim 39, wherein
the interface is further programmed to receive~~able of receiving~~ later data concerning at least one non-economic factor for a company; and
the processor is ~~programmed~~adapted to further compute a subsequent sustainability score for the company using the later data.

43. (Original) The computer of claim 42, wherein
the processor is adapted to further wait to receive a verification flag respecting the later data prior to computing the subsequent sustainability score.

44. (Original) The computer of claim 42, wherein
the later data is received from the public.

45. (Original) The computer of claim 42, wherein the later data is received from the company.
46. (Original) The computer of claim 45, wherein the later data is received as a response to a questionnaire.
47. (Original) The computer of claim 45, wherein the interface is adapted to further establish a secure entity reporting channel, and the later data is received over the channel.
48. (Original) The computer of claim 37, wherein the sustainability score includes a plurality of individual scores corresponding to discrete sustainability criteria.
49. (Original) The computer of claim 48, wherein the coefficient value is used to compute at least one of the individual scores.
50. (Original) The computer of claim 48, wherein the sustainability score is computed by combining at least two of the individual scores, and the coefficient value is used to affect how the individual scores are combined.
51. (Original) The computer of claim 48, wherein the discrete sustainability criteria include at least one of a social responsibility rating, an environmental responsibility rating, and a corporate governance rating.
52. (Original) The computer of claim 51, wherein the discrete sustainability criteria further include an economic rating.
53. (Original) The computer of claim 37, further comprising:

the processor is adapted to further benchmark the sustainability score against a reference score.

54. (Cancelled)

55. (Cancelled)

56. (Currently Amended) In a computer-implemented rating system having access to a database populated with data concerning at least one non-economic factor, the at least one non-economic factor including at least one of a social factor, an environmental factor, and a corporate governance factor, a method comprising:

providing a software interface executing on the computer and programmed to displayable of displaying at least a portion of the data;

enabling a user to input at least one coefficient value and an alert-input through the interface, the alert-input including at least one monitored holding and a personalized criteria concerning the sustainability score of the at least one monitored holding;

associating each of the at least one user-input coefficient values with the data concerning a respective non-economic factor, each associated user-input coefficient representing a weighting of the respective non-economic factor;

computing a sustainability score using a formula ~~whicheonfigured to~~ mathematically ~~appliesapply~~ each associated user-input coefficient value to the data concerning the respective non-economic factor;

monitoring the at least one monitored holding;

testing the computed sustainability score against the personalized criteria for each of the monitored holdings;

providing ~~permitting~~ the user an interactive control for inspecting to view the formula through the interface the formula, the data concerning the respective non-economic factor, and the user-input coefficient associated with each respective underlying non-economic factor data concerning each of the at least one non-economic factors; and

outputting the sustainability score, the sustainability score defining a rating of the company which incorporates the at least one non-economic factor;

generating an alert indicating that the monitored holding satisfies the personalized criteria; and

transmitting the alert to the user in response to satisfaction of the personalized criteria.

57. (New) The method of claim 56, wherein the personalized criteria includes at least one user-input coefficient associated with a respective non-economic factor used to compute a threshold sustainability score.

58. (New) The method of claim 56, wherein the monitored holding includes companies in which the user has an investment.

59. (New) The method of claim 58 further comprising the steps of:
subscribing to the client service;
authenticating the user as a subscriber, wherein the steps of receiving the alert-input, generating the alert, and transmitting the alert are performed after successful authentication of the user.

60. (New) The method of claim 3, wherein the personalized criteria includes at least one user-input coefficient associated with a respective non-economic factor used to compute a threshold sustainability score.

61. (New) The method of claim 3, wherein the monitored holding includes companies in which the user has an investment.

62. (New) The method of claim 61 further comprising the steps of:
subscribing to the client service;
authenticating the user as a subscriber, wherein the steps of receiving the alert-input, generating the alert, and transmitting the alert are performed after successful authentication of the user.

63. (New) The method of claim 21, wherein the personalized criteria includes at least one user-input coefficient associated with a respective non-economic factor used to compute a threshold sustainability score.

64. (New) The method of claim 21, wherein the monitored holding includes companies in which the user has an investment.

65. (New) The method of claim 64 further comprising the steps of:
subscribing to the client service;
authenticating the user as a subscriber, wherein the steps of receiving the alert-input, generating the alert, and transmitting the alert are performed after successful authentication of the user.

66. (New) The method of claim 39, wherein the personalized criteria includes at least one user-input coefficient associated with a respective non-economic factor used to compute a threshold sustainability score.

67. (New) The method of claim 39, wherein the monitored holding includes companies in which the user has an investment.

68. (New) The method of claim 67 further comprising the steps of:
subscribing to the client service;
authenticating the user as a subscriber, wherein the steps of receiving the alert-input, generating the alert, and transmitting the alert are performed after successful authentication of the user.